## What is Needed to Apply:

$\square$ Letter of intent addressed to the Mayor and Members of the City Council
$\square \quad 81 / 2^{\prime \prime} \times 11^{\prime \prime}$ diagram showing layout of the premises
$\square$ City application for food \& alcohol
$\square$ City application for entertainment (if applicable)
$\square$ State application for alcohol (including Corporate Supplement, if applicable)
$\square$ Outdoor Dining application (if applicable)
$\square$ Menu
$\square$ Purchase \& Sale, Lease Agreement, Berthing Agreement (Vessels), or Non-Renewal Notification from previous owner (if applicable)
$\square$ Payment of fees (see fee schedule): New application, Health Inspection, Criminal History Check, License Fees and Legal Advertisement deposit

The application must be complete in order to be accepted and processed.
Applications must be submitted at least four (4) weeks prior to the Council meeting. There will be NO liquor applications heard by the City Council in the month of May due to fiscal budget items.
Before you submit your application to the Business Licensing Office:

- Call the Department of Liquor Licensing in Augusta at 207-624-7220. They will tell you which class of liquor license you should apply for based on your business model. If you do not do this and you apply for the wrong license, it could delay the opening of your establishment.
- Contact the Portland Zoning/Code Enforcement office at 207-874-8703 to determine if your business address is zoned for use as a restaurant.
- If you wish to have entertainment and/or dancing at your establishment in the Downtown District Overlay Zone (primarily the Old Port), let us know. We will determine if your business is eligible for an entertainment/dance license.


## After you submit your application to the Business Licensing Office:

- We will mail you a letter confirming the date, time, and location of the City Council meeting.
- We do not recommend scheduling inspections until after you have appeared before City Council in the event that the license is denied. If you have questions for inspectors, please find their numbers on the accompanying page.


## After you have been approved by City Council:

- The Director will sign your State application for alcohol. We will call you to pick it up as soon as it is available after the meeting. You are responsible for mailing the application with payment to the Dept. of Liquor Licensing.
- Proceed with arranging for inspections as your establishment is ready.
- When the Business Licensing Office has received all approvals from the required departments, we will issue your license. We will call you when the license is ready and any remaining balance is due. You may contact us for a license status update, but please note that we must receive approvals directly from all departments on the accompanying "Steps to Approval" sheet before issuing your license.

CITY OF PORTLAND
Permitting and Inspections Department
City of Portland Fees for Food Service Establishment Licenses with Alcoholic Beverages
This license is valid for 12 consecutive months from date of issuance. License fees are paid on an annual basis. State Liquor License and State Eating Establishment fees are not included the prices below.

|  | All Fees Are Required when you apply: |  |
| :--- | :--- | :--- |
| New Application Fee |  | Health Inspection |
| Legal Advertisement Deposit | $\$ 45.00$ | SBI Background Check |
|  | $\$ 100.00$ |  |
| Types of Liquor Licenses: |  | $\$ 150.00$ |
| Brewery, Winery and Distillery | $\$ 525.00$ |  |
| Class I (Auditorium) | $\$ 584.00$ |  |
| Class I (Civic Auditorium) | $\$ 1,851.00$ |  |
| Class I (Class A Restaurant) | $\$ 1,873.00$ |  |
| Class I (Qualified Catering Service) | $\$ 1,873.00$ |  |
| Class 1A-(1-15 rooms Innholder) | $\$ 1,436.00$ |  |
| Class 1A (16-40 rooms Innholder) | $\$ 1,928.00$ |  |
| Class 1A (41+ rooms Innholder) | $\$ 2,239.00$ |  |
| Class II (Spirituous License) | $\$ 611.00$ |  |
| Class III (Vinous License) | $\$ 410.00$ |  |
| Class IV (Malt License) | $\$ 824.00$ |  |
| Class IV (Nonprofit club with catering) | $\$ 737.00$ | $\$ 906.00$ |
| Class III \& IV (Malt \& Vinous License) | $\$ 737.00$ | $\$ 2,774.00$ |
| Class V (nonprofit club without catering) | $\$ 2326.00$ |  |
| Class X (Class A Lounge) |  |  |
| Class XI (Class A Restaurant/Lounge) |  |  |

## Add-On Licenses

Indoor Entertainment \$500.00
Outdoor Entertainment $\$ 700.00$
Combined Entertainment \$800.00
Sound Mitigation Review Fee $\$ 150.00$
Amusement Devices (per device) for the first $25 \$ 153.00$ each additional is $\$ 10.00$ per device

Non-Mechanical Pool Table (per)
Outdoor Dining-Private
Outdoor Dining-Public
$\$ 33.00$
\$125.00
$\$ 84.00$

CITY OF PORTLAND
Permitting and Inspections Department

## Food Service Establishment with Alcoholic Beverages License - Steps to Approval

In order for the Licensing Office to issue your license, we must receive approvals directly from the following departments:

- Zoning/Code Enforcement
- Public Services/Engineering (grease trap)
- Fire Inspections
- Health Inspections
- Treasury
- Police Department

Your responsibilities include:
Contact the Zoning/Code Enforcement Department (room 315) at zoning@portlandmaine.gov or 207-874-8703 to determine if the address of your business is zoned for the purpose for which you intend to use it. This is also the department you should contact if you require a sign permit, an outdoor dining application, and a building permit.

$\square$Contact the Historic Preservation Division at 207-874-8726 for specific requirements if your business is located in a Historic District. Visit http://click.portlandmaine.gov/portlandhistoric/ to determine your district.
$\square$ Contact Public Services Engineering department at 874-8801 for information about grease trap requirements.
Contact the Department of Health and Human Services at 207-287-5675 to obtain the correct State of Maine food license for your business.
$\square$ Contact the City of Portland Health Inspections department at 207-756-8365 to arrange for an inspection after renovations.

Contact the City of Portland Fire Inspections department at 207-874-8400 to arrange for an inspection after renovations.
$\square$ Contact the Treasury department at 207-874-8490 to determine if you, the owner of your business premises, or the previous business tenant, owe any outstanding taxes to the City of Portland. We cannot issue a license if there are delinquencies on the part of the business owner or landlord.

Once we have received approvals from the departments listed above, we will issue your license and contact you. Any balance of the license fee will be due before you receive the license.

If you have any questions about this process, please contact the Business Licensing Division: bl@portlandmaine.gov or 207-874-8557

CITY OF PORTLAND

## Permitting and Inspections Department

## Application for Food Service Establishment with Alcoholic Beverages License

## Business Information

| Business Information |  |  |
| :--- | :--- | :--- |
| Legal Business Entity Applicant Name (corporation, LLC): | Business Name (D/B/A): |  |
| Individual or Sole Proprietor Applicant Name(s): | Physical Location: |  |
| If applicable, what was formerly at this location: | Business Email Address: |  |
| Mailing Address: | Contact Person: |  |
| Contact Person Phone: | Contact Person Email: |  |
| Manager of Establishment: | Date of Birth: | Manager Phone: |
| Owner of Premises (Landlord): |  |  |

## Sole Proprietor/Partnership Information (If Corporation, leave blank)

| Name of Owner(s): | Date of Birth: | Residence Address: |
| :--- | :--- | :--- |
| Name of Owner(s): | Date of Birth: | Residence Address: |

Corporate/LLC/Non-Profit Organization Applicants (If Sole Proprietor or Partnership, leave blank)

| Corporate Name: | Corporate Mailing Address: |  |  |
| :--- | :--- | :--- | :--- | :--- |
| Contact Person: | Title | Contact Phone: |  |
| Principal Officers |  | Date of Birth | Residence Address |
|  |  |  |  |
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## CITY OF PORTLAND

Permitting and Inspections Department

| Class of Liquor License (see fee schedule): |  |  |  |
| :---: | :---: | :---: | :---: |
| Type of food served: |  |  |  |
| Please select all that will be served: | $\square$ Beer $\quad \square$ Wine $\quad \square$ Liquor |  |  |
| Projected percentage of sales: | Generated from Food: Generated from Alcohol: |  |  |
| Hours \& days of operation: |  |  |  |
| QUESTIONS |  | YES | NO |
| Will full-course meals, only capable of consumption with the use of tableware, be served the entire time the establishment is open? |  | $\square$ | $\square$ |
| If No, please explain: |  |  |  |
| Is the establishment less than 300 feet from a school, dormitory, church or parish house, or similar establishment? |  | $\square$ | $\square$ |
| If yes, give the distance: |  |  |  |
| Will you have entertainment on the premises? (If yes, a Supplemental Application for Entertainment is required.) |  | $\square$ | $\square$ |
| Will you permit dancing after 1:00 a.m.? |  | $\square$ | $\square$ |
| Will you have outside dining? (If yes, an Outdoor Dining Application is required) |  | $\square$ | $\square$ |
| If yes, will the outside dining be on: $\quad \square$ PUBLIC property or $\square$ PRIVATE property |  |  |  |
| Will you have any amusement devices (pinball, video games, juke box)? |  | $\square$ | $\square$ |
| If yes, please list: \# of amusements: and \# of pool tables: |  |  |  |
| What is your targeted opening date? : |  |  |  |
| Does the Issuance of this license directly or indirectly benefit any City employee(s)? |  | $\square$ | $\square$ |
| If Yes, list name(s) of employee(s) and department(s): |  |  |  |
| Have any of the applicants, including the corporation (if applicable), ever held a business license with the City of Portland? |  | $\square$ | $\square$ |
| If Yes, please list business name(s) and location(s): |  |  |  |
| Is any principal officer under the age of 21? |  | $\square$ | $\square$ |
| Have applicant, partners, associates, or corporate officers ever been arrested, indicted, or convicted for any violation of law? |  | $\square$ | $\square$ |
| If Yes, please explain: |  |  |  |

I
do hereby swear and affirm that every employee in my establishment that serves alcohol to the public has attended server training, or will attend server training within 90 days of their hire. I also understand that at any time the City license administrator can, upon request, require me to produce Server Training certificates for each employee that serves alcohol to the public in my establishment. Failure to meet the training requirement imposed by section 15-41 may result in the denial of a liquor license pursuant to 28-A M.R.S.A. § 653 (2) (G).

Applicant, by signature below, agrees to abide by all laws, orders, ordinances, rules and regulations governing the above licensee and further agrees that any misstatement of material fact may result in refusal of license or revocation if one has been granted. Applicant agrees that all taxes and accounts pertaining to the premises will be paid prior to issuance of the license.

It is understood that this and any application(s) shall become public record and the applicant(s) hereby waive(s) any rights to privacy with respect thereto. I/We, hereby authorize the release of any criminal history record information to the City Clerk's Office or licensing authority. I/We, hereby waive any rights to privacy with respect thereto.

Signature $\qquad$ Title $\qquad$ Date $\qquad$

# Department of Administrative and Financial Services Bureau of Alcoholic Beverages and Lottery Operations Division of Liquor Licensing and Enforcement 

Telephone: (207) 624-7220
FAX: (207) 287-3434
EMAIL INQUIRIES: maineliquor@maine.gov

Thank you for your interested in becoming a licensed establishment to sell and serve alcoholic beverages in Maine. To avoid any delay in the processing of your application and the subsequent issuance of your liquor license, please use the following checklist to assist you in completing the application. If you are renewing your license, this checklist is useful as well.

Your application has been completed in its entirety and is legible. For a renewal, please submit your application 30 days prior to the expiration date of your liquor license.
$\square \quad$ Your application is signed and dated by a duly authorized person.The application is signed and approved by the Town or City Municipal Officers or County Commissioners. The license fee submitted is for the correct fee for the license class for which you are applying and includes the $\$ 10.00$ filing fee.
$\square \quad$ The check must be made payable to "Treasurer, State of Maine"; both the license and filing fees can be submitted on one check.
$\square \quad$ If the licensee/applicant(s) is in an unorganized township, the application must be approved by the County Commissioners and the $\$ 10.00$ filing fee must be paid to them. Please be sure to include a copy of the receipt of payment with your application.For a renewal, the dollar amount of your gross income for food, liquor and guest rooms, if applicable must be completed - see Section I. 1
$\square \quad$ A diagram of the facility to be licensed must accompany all applications whether for a new license or the renewal of an existing license
$\square \quad$ If you are a registered business entity with the Maine Secretary of State’s office like a corporation or a limited liability company, you must complete Section VII of the application. This does not need to be completed if you are a sole proprietor.
$\square \quad$ Have you applied for other required licensing from other state and federal agencies? See attached list.
Important - all applications whether for a new license or to renew an existing license for an on-premises liquor licenses must contact their Municipal Officials or the County Commissioners in unincorporated places to have their application approved and signed prior to submitting it to the Bureau for further consideration.

The address to send your completed application to:

1. Mailing address:

Bureau of Alcoholic Beverages and Lottery Operations
Division of Liquor Licensing and Enforcement
8 State House Station
Augusta, ME 04333-0008
2. Courier/overnight address:

Bureau of Alcoholic Beverages and Lottery Operations
Division of Liquor Licensing and Enforcement
19 Union Street, Suite 301-B
Augusta, ME 04330

The following licenses/permits may be required prior to be licensing as an on-premises licensee with the Bureau

| Obtained $\checkmark$ | License/Permit | State/Federal Agency to Contact | Telephone Number | Physical Location |
| :---: | :---: | :---: | :---: | :---: |
|  | Seller Certificate or Sales Tax Number | Maine Revenue Services www.maine.gov/revenue | $\begin{aligned} & \text { (207) 624- } \\ & 9693 \end{aligned}$ | 51 Commerce Dr, Augusta |
|  | Health License | Health and Human Services www.maine.gov/dhhs | $\begin{array}{\|l} \hline(207) \\ 5671 \end{array}$ | 286 Water St, $3^{\text {rd }}$ floor, Augusta |
|  | Victualer's License | Municipality where premise is located. | Contact your town office or county office | Contact your town office or county office |
|  | Shellfish License | Marine Recourses www.maine.gov/dmr | $\begin{aligned} & \text { (207) 624- } \\ & 6550 \end{aligned}$ | - 32 Blossom Lane, Augusta <br> - 194 McKown Point Rd, West Boothbay Harbor <br> - Lamoine State Park, Lamoine <br> - 650 State St, Bangor <br> - 317 Whitneyville Rd, Jonesboro |
|  | Dance or Entertainment License | Fire Marshall’s Office www.maine.gov/dps/fmo | $\begin{aligned} & \hline(207) \text { 626- } \\ & 3882 \end{aligned}$ | 45 Commerce Drive, Suite 1, Augusta |
|  | Federal I.D. Number | www.irs.gov | $\begin{aligned} & \text { (800) 829- } \\ & 4933 \end{aligned}$ |  |
|  | Legal business names for corporations and limited liability companies and "Doing Business As" Names (assumed names) | Secretary of State, Bureau of Corporations, Elections and Commissions www.maine.gov/sos/cec | $\begin{aligned} & \hline(207) 624- \\ & 7752 \end{aligned}$ | 111 Sewall St, $3^{\text {rd }}$ Fl, Augusta |
|  | Retail Beverage Alcohol Dealers Permit | Alcohol and Tobacco Tax and Trade Bureau (TTB) https://www.ttb.gov/nrc/retail-beverage-alcohol-dealers | $\begin{aligned} & \hline(877) \text { 882- } \\ & 3277 \end{aligned}$ |  |

Department of Administrative and Financial Services Bureau of Alcoholic Beverages and Lottery Operations Division of Liquor Licensing and Enforcement

## Application for an On-Premises License

All Questions Must Be Answered Completely. Please print legibly.

## Section I: Licensee/Applicant(s) Information; Type of License and Status

| Division Use Only |
| :--- |
| License No: $\quad$ By: |
| Class: |
| Deposit Date: |
| Amt. Deposited: |
| Payment Type: |
| OK with SOS: $\quad$ Yes $\square \quad$ No $\square$ |


| Legal Business Entity Applicant Name (corporation, LLC): | Business Name (D/B/A): |
| :--- | :--- |
| Individual or Sole Proprietor Applicant Name(s): | Physical Location: |
| Individual or Sole Proprietor Applicant Name(s): | Mailing address, if different: |
| Mailing address, if different from DBA address: | Email Address: |
| Telephone \# Fax \#: | Business Telephone \# Fax \#: |
| Federal Tax Identification Number: | Website address: |
| Retail Beverage Alcohol Dealers Permit: |  |

1. New license or renewal of existing license?New Expected Start date: $\qquad$
$\square \quad$ Renewal Expiration Date: $\qquad$
2. The dollar amount of gross income for the licensure period that will end on the expiration date above:

Food: $\qquad$ Beer, Wine or Spirits: $\qquad$ Guest Rooms: $\qquad$
3. Please indicate the type of alcoholic beverage to be sold: (check all that apply)Malt Liquor (beer)Wine
$\square$ Spirits
4. Indicate the type of license applying for: (choose only one)

Restaurant
(Class I, II, III, IV)
Hotel
(Class I, II, III, IV)
Golf Course (included optional licenses, please check if apply)AuxiliaryMobile Cart

Class A Lounge (Class X)

Bed \& Breakfast (Class V)

Tavern
Other: $\qquad$
(Class IV)
$\square \quad$ Qualified CatererSelf-Sponsored Events (Qualified Caterers Only)
Refer to Section V for the License Fee Schedule on page 9
5. Business records are located at the following address:
6. Is the licensee/applicant(s) citizens of the United States? $\square \quad$ Yes $\quad \square$

No
7. Is the licensee/applicant(s) a resident of the State of Maine?

NOTE: Applicants that are not citizens of the United States are required to file for the license as a business entity.
8. Is licensee/applicant(s) a business entity like a corporation or limited liability company?
$\square \quad$ YesNo If Yes, complete Section VII at the end of this application
9. For a licensee/applicant who is a business entity as noted in Section I, does any officer, director, member, manager, shareholder or partner have in any way an interest, directly or indirectly, in their capacity in any other business entity which is a holder of a wholesaler license granted by the State of Maine?Yes
No

Not applicable - licensee/applicant(s) is a sole proprietor
10. Is the licensee or applicant for a license receiving, directly or indirectly, any money, credit, thing of value, endorsement of commercial paper, guarantee of credit or financial assistance of any sort from any person or entity within or without the State, if the person or entity is engaged, directly or indirectly, in the manufacture, distribution, wholesale sale, storage or transportation of liquor.
$\square \quad$ Yes $\square \quad$ No
If yes, please provide details: $\qquad$
11. Do you own or have any interest in any another Maine Liquor License?

Yes $\square \quad$ No
If yes, please list license number, business name, and complete physical location address: (attach additional pages as needed using the same format)

| Name of Business | License Number | Complete Physical Address |
| :--- | :--- | :--- |
|  |  |  |
|  |  |  |

12. List name, date of birth, place of birth for all applicants including any manager(s) employed by the licensee/applicant. Provide maiden name, if married. (attach additional pages as needed using the same format)

| Full Name | DOB | Place of Birth |
| :--- | :---: | :---: |
|  |  |  |

13. Will any law enforcement officer directly benefit financially from this license, if issued?
$\square \quad$ Yes $\quad \square \quad$ No

If Yes, provide name of law enforcement officer and department where employed:
14. Has the licensee/applicant(s) ever been convicted of any violation of the liquor laws in Maine or any State of the United States? $\quad \square \quad$ Yes $\quad \square \quad$ No

If Yes, please provide the following information and attach additional pages as needed using the same format.

Name: $\qquad$ Date of Conviction: $\qquad$
Offense: $\qquad$ Location: $\qquad$
Disposition: $\qquad$
15. Has the licensee/applicant(s) ever been convicted of any violation of any law, other than minor traffic violations, in Maine or any State of the United States? $\square \quad$ Yes $\square \quad$ No

If Yes, please provide the following information and attach additional pages as needed using the same format.

Name: $\qquad$ Date of Conviction: $\qquad$
Offense: $\qquad$ Location: $\qquad$
Disposition: $\qquad$
16. Has the licensee/applicant(s) formerly held a Maine liquor license? $\quad \square \quad$ Yes $\quad \square \quad$ No
17. Does the licensee/applicant(s) own the premises? $\square \quad$ Yes $\square \quad$ No

If No, please provide the name and address of the owner:
18. If you are applying for a liquor license for a Hotel or Bed \& Breakfast, please provide the number of guest rooms available: $\qquad$
19. Please describe in detail the area(s) within the premises to be licensed. This description is in addition to the diagram in Section VI. (Use additional pages as needed)
20. What is the distance from the premises to the nearest school, school dormitory, church, chapel or parish house, measured from the main entrance of the premises to the main entrance of the school, school dormitory, church, chapel or parish house by the ordinary course of travel?

Name: $\qquad$

Distance: $\qquad$

## Section II: Signature of Applicant(s)

By signing this application, the licensee/applicant understands that false statements made on this application are punishable by law. Knowingly supplying false information on this application is a Class D Offense under Maine's Criminal Code, punishable by confinement of up to one year, or by monetary fine of up to $\$ 2,000$ or by both.

## Please sign and date in blue ink.

Dated: $\qquad$

Signature of Duly Authorized Person

Printed Name Duly Authorized Person

Signature of Duly Authorized Person

Printed Name of Duly Authorized Person

## Section III: For use by Municipal Officers and County Commissioners only

The undersigned hereby certifies that we have complied with the process outlined in 28-A M.R.S. §653 and approve this on-premises liquor license application.

Dated: $\qquad$

Who is approving this application? $\quad \square$ Municipal Officers of $\qquad$

County Commissioners of $\qquad$ County
$\square \quad$ Please Note: The Municipal Officers or County Commissioners must confirm that the records of Local Option Votes have been verified that allows this type of establishment to be licensed by the Bureau for the type of alcohol to be sold for the appropriate days of the week. Please check this box to indicate this verification was completed.

| Signature of Officials | Printed Name and Title |
| :--- | :--- |
|  |  |
|  |  |
|  |  |
|  |  |

This Application will Expire 60 Days from the date of Municipal or County Approval unless submitted to the Bureau

Included below is the section of Maine's liquor laws regarding the approval process by the municipalities or the county commissioners. This is provided as a courtesy only and may not reflect the law in effect at the time of application. Please see http://www.mainelegislature.org/legis/statutes/28-A/title28-Asec653.html
§653. Hearings; bureau review; appeal

1. Hearings. The municipal officers or, in the case of unincorporated places, the county commissioners of the county in which the unincorporated place is located, may hold a public hearing for the consideration of applications for new onpremises licenses and applications for transfer of location of existing on-premises licenses. The municipal officers or county commissioners may hold a public hearing for the consideration of requests for renewal of licenses, except that when an applicant has held a license for the prior 5 years and a complaint has not been filed against the applicant within that time, the applicant may request a waiver of the hearing.
A.The bureau shall prepare and supply application forms.
B. The municipal officers or the county commissioners, as the case may be, shall provide public notice of any hearing held under this section by causing a notice, at the applicant's prepaid expense, stating the name and place of hearing, to appear on at least 3 consecutive days before the date of hearing in a daily newspaper having general circulation in the municipality where the premises are located or one week before the date of the hearing in a weekly newspaper having general circulation in the municipality where the premises are located.
C. If the municipal officers or the county commissioners, as the case may be, fail to take final action on an application for a new on-premises license or transfer of the location of an existing on-premises license within 60 days of the filing of an application, the application is deemed approved and ready for action by the bureau. For purposes of this paragraph, the date of filing of the application is the date the application is received by the municipal officers or county commissioners. This paragraph applies to all applications pending before municipal officers or county commissioners as of the effective date of this paragraph as well as all applications filed on or after the effective date of this paragraph. This paragraph applies to an existing on-premises license that has been extended pending renewal. The municipal officers or the county commissioners shall take final action on an on-premises license that has been extended pending renewal within 120 days of the filing of the application.
D.If an application is approved by the municipal officers or the county commissioners but the bureau finds, after inspection of the premises and the records of the applicant, that the applicant does not qualify for the class of license applied for, the bureau shall notify the applicant of that fact in writing. The bureau shall give the applicant 30 days to file an amended application for the appropriate class of license, accompanied by any additional license fee, with the municipal officers or county commissioners, as the case may be. If the applicant fails to file an amended application within 30 days, the original application must be denied by the bureau. The bureau shall notify the applicant in writing of its decision to deny the application including the reasons for the denial and the rights of appeal of the applicant.
2. Findings. In granting or denying an application, the municipal officers or the county commissioners shall indicate the reasons for their decision and provide a copy to the applicant. A license may be denied on one or more of the following grounds:

## A. Conviction of the applicant of any Class A, Class B or Class C crime;

B. Noncompliance of the licensed premises or its use with any local zoning ordinance or other land use ordinance not directly related to liquor control;
C. Conditions of record such as waste disposal violations, health or safety violations or repeated parking or traffic violations on or in the vicinity of the licensed premises and caused by persons patronizing or employed by the licensed premises or other such conditions caused by persons patronizing or employed by the licensed premises that unreasonably disturb, interfere with or affect the ability of persons or businesses residing or located in the vicinity of the licensed premises to use their property in a reasonable manner;
D. Repeated incidents of record of breaches of the peace, disorderly conduct, vandalism or other violations of law on or in the vicinity of the licensed premises and caused by persons patronizing or employed by the licensed premises;

D-1. Failure to obtain, or comply with the provisions of, a permit for music, dancing or entertainment required by a municipality or, in the case of an unincorporated place, the county commissioners;
E. A violation of any provision of this Title;
F. A determination by the municipal officers or county commissioners that the purpose of the application is to circumvent the provisions of section 601; and
G.After September 1, 2010, server training, in a program certified by the bureau and required by local ordinance, has not been completed by individuals who serve alcoholic beverages.
3. Appeal to bureau. Any applicant aggrieved by the decision of the municipal officers or county commissioners under this section may appeal to the bureau within 15 days of the receipt of the written decision of the municipal officers or county commissioners. The bureau shall hold a public hearing in the city, town or unincorporated place where the premises are situated. In acting on such an appeal, the bureau may consider all licensure requirements and findings referred to in subsection 2.
A. Repealed
B. If the decision appealed from is an application denial, the bureau may issue the license only if it finds by clear and convincing evidence that the decision was without justifiable cause.

## 4. Repealed

5. Appeal to District Court. Any person or governmental entity aggrieved by a bureau decision under this section may appeal the decision to the District Court within 30 days of receipt of the written decision of the bureau.

An applicant who files an appeal or who has an appeal pending shall pay the annual license fee the applicant would otherwise pay. Upon resolution of the appeal, if an applicant's license renewal is denied, the bureau shall refund the applicant the prorated amount of the unused license fee.

## Section IV: Terms and Conditions of Licensure as an Establishment that sells liquor for on-premises consumption in Maine

- The licensee/applicant(s) agrees to be bound by and comply with the laws, rules and instructions promulgated by the Bureau.
- The licensee/applicant(s) agrees to maintain accurate records related to an on-premise license as required by the law, rules and instructions promulgated or issued by the Bureau if a license is issued as a result of this application.
- The licensee/applicant(s) authorizes the Bureau to obtain and examine all books, records and tax returns pertaining to the business, for which this liquor license is requested, and also any books, records and returns during the year in which any liquor license is in effect.
- Any change in the licensee's/applicant's licensed premises as defined in this application must be approved by the Bureau in advance.
- All new applicants must apply to the Alcohol and Tobacco Tax and Trade Bureau (TTB) for its Retail Beverage Alcohol Dealers permit. See the TTB's website at https://www.ttb.gov/nrc/retail-beverage-alcohol-dealers for more information.


## Section V: Fee Schedule

Filing fee required. In addition to the license fees listed below, a filing fee of $\$ 10.00$ must be included with all applications.

Please note: For Licensees/Applicants in unorganized territories in Maine, the $\$ 10.00$ filing fee must be paid directly to County Treasurer. All applications received by the Bureau from licensees/applicants in unorganized territories must submit proof of payment was made to the County Treasurer together with the application.

Class of License Type of liquor/Establishments included Fee
Class I For the sale of liquor (malt liquor, wine and spirits) $\quad \$ 900.00$
This class includes: Airlines; Civic Auditoriums; Class A Restaurants: Clubs with catering privileges; Dining Cars; Golf Courses; Hotels; Indoor Ice-Skating Clubs; Indoor Tennis Clubs; Vessels; Qualified Caterers

Class I-A For the sale of liquor (malt liquor, wine and spirits)
\$1,100.00
This class includes only hotels that do not serve three meals a day.
Class II For the Sale of Spirits Only $\quad \$ 550.00$
This class includes: Airlines; Civic Auditoriums; Class A Restaurants; Clubs with catering privileges; Dining Cars; Golf Courses; Hotels; Indoor Ice-Skating Clubs; Indoor Tennis Clubs; and Vessels.

Class III For the Sale of Wine Only $\quad \$ 220.00$
This class includes: Airlines; Civic Auditoriums; Class A Restaurants; Clubs with catering privileges; Dining Cars; Golf Courses; Hotels; Indoor Ice-Skating Clubs; Indoor Tennis Clubs; Restaurants; Vessels; Pool Halls; and Bed and Breakfasts.

Class IV For the Sale of Malt Liquor Only 220.00
This class includes: Airlines; Civic Auditoriums; Class A Restaurants; Clubs with catering privileges; Dining Cars; Golf Courses; Hotels; Indoor Ice-Skating Clubs; Indoor Tennis Clubs; Restaurants; Taverns; Pool Halls; and Bed and Breakfasts.

Class III and IV For the Sale of Malt Liquor and Wine Only $\quad \$ 440.00$
This class includes: Airlines; Civic Auditoriums; Class A Restaurants; Clubs with catering privileges; Dining Cars; Golf Courses; Hotels; Indoor Ice-Skating Clubs; Indoor Tennis Clubs; Restaurants; Vessels; Pool Halls; and Bed and Breakfasts.

Class V For the sale of liquor (malt liquor, wine and spirits)
\$ 495.00
This class includes only a Club without catering privileges.
Class X For the sale of liquor (malt liquor, wine and spirits) \$2,200.00
This class includes only a Class A Lounge
Class XI For the sale of liquor (malt liquor, wine and spirits) \$1,500.00
This class includes only a Restaurant Lounge

## Section VI Premises Floor Plan

In an effort to clearly define your license premise and the areas that consumption and storage of liquor authorized by your license type is allowed, the Bureau requires all applications to include a diagram of the premise to be licensed.

Diagrams should be submitted on this form and should be as accurate as possible. Be sure to label the following areas: entrances, office area, coolers, storage areas, display cases, shelves, restroom, point of sale area, area for on-premise consumption, dining rooms, event/function rooms, lounges, outside area/decks or any other areas on the premise that you are requesting approval. Attached an additional page as needed to fully describe the premise.

## Section VII: Required Additional Information for a Licensee/Applicant for an On-Premises Liquor License Who are Legal Business Entities

Questions 1 to 4 of this part of the application must match information in Section I of the application above and match the information on file with the Maine Secretary of State's office. If you have questions regarding your legal entity name or DBA, please call the Secretary of State's office at (207) 624-7752.

All Questions Must Be Answered Completely. Please print legibly.

1. Exact legal name: $\qquad$
2. Doing Business As, if any: $\qquad$
3. Date of filing with Secretary of State: $\qquad$ State in which you are formed: $\qquad$
4. If not a Maine business entity, date on which you were authorized to transact business in the State of Maine:
5. List the name and addresses for previous 5 years, birth dates, titles of officers, directors, managers, members or partners and the percentage ownership any person listed: (attached additional pages as needed)

| Name |  | Address (5 Years) | Date of <br> Birth | Percentage <br> of <br> Ownership |
| :--- | :--- | :--- | :--- | :--- |
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(Ownership in non-publicly traded companies must add up to 100\%.)

